The following is a listing of the pleadings to date and a short and plain statement of the grounds for removal:

I .

THE STATE COMPLAINT

I.

1. On September 11, 2007, plaintiff James M. Kinder ("Kinder") filed a complaint against Defendants Sprint PCS Assets, L.L.C. and Sprint PCS License, L.L.C. in the California Superior Court for the County of San Diego, Superior Court Case Number 37-2007-00074754-CU-MC-CTL. A copy of that complaint, which is the only pleading in this case, is attached hereto as Exhibit A.

2. The first date upon which Defendants received a copy of the complaint was September 27, 2007 when Defendant Sprint PCS License, L.L.C. was served with a copy of the complaint and a summons from the state court. A copy of the summons is included with the complaint as Exhibit A.

II.

THE PARTIES ARE DIVERSE

This Court has original jurisdiction over this case because diversity jurisdiction exists under 28 U.S.C. § 1332, in that:

3. Plaintiff Kinder alleges he is a resident of the County of San Diego, State of California. Complaint ¶ 1. Defendants allege, on information and belief, that Plaintiff Kinder's domicile is San Diego, California. Plaintiff Kinder, therefore, is a citizen of California.

> 5 6

7 8

9 10

11

12

13 14

15

16

17

18

19 20

21

22

23 24

25

26

27

- Defendant Sprint PCS Assets, L.L.C. is not a citizen of California. 4. Defendant Sprint PCS Assets, L.L.C. is a limited liability company organized under the laws of Delaware, with its principal place of business at 6200 Sprint Parkway, Overland Park, Kansas 66251. Defendant Sprint PCS Assets, L.L.C. has one member, Sprint Telephony PCS, L.P.
- 5. Sprint Telephony PCS, L.P. is a limited partnership organized under the laws of Delaware, with a principal place of business at 6200 Sprint Parkway, Overland Park, Kansas 66251. Sprint Telephony PCS, L.P. has two partners: Sprint Spectrum Holding Company, L.P. and SWV Three Telephony Partnership.
- 6. Sprint Spectrum Holding Company, L.P. is a limited partnership organized under the laws of Delaware with a principal place of business at 6200 Sprint Parkway, Overland Park, Kansas 66251. Sprint Spectrum Holding Company, L.P. has four partners: Sprint Enterprises, L.P., SWV Six, Inc., SWV One Telephony Partnership and SWV Two Telephony Partnership.
- Sprint Enterprises, L.P. is a limited partnership organized under the 7. laws of Delaware, with a principal place of business at 6200 Sprint Parkway, Overland Park, Kansas 66251. It has two partners: UCOM, Inc. and US Telecom, Inc. UCOM, Inc. is a corporation organized under the laws of Missouri with a principal place of business at 6200 Sprint Parkway, Overland Park, Kansas 66251. US Telecom, Inc. is a corporation organized under the laws of Kansas with a principal place of business at 6200 Sprint Parkway, Overland Park, Kansas 66251.
- 8. SWV Six, Inc. is a corporation organized under the laws of Colorado, with a principal place of business at 6200 Sprint Parkway, Overland Park, Kansas 66251.

- 9. SWV One Telephony Partnership is a partnership organized under the laws of Delaware with a principal place of business at 6200 Sprint Parkway, Overland Park, Kansas 66251. It has two partners: SWV One, Inc. and SWV Two, Inc. SWV One, Inc. is a corporation organized under the laws of Delaware, with a principal place of business at 6200 Sprint Parkway, Overland Park, Kansas 66251. SWV Two, Inc. is a corporation organized under the laws of Delaware, with a principal place of business at 6200 Sprint Parkway, Overland Park, Kansas 66251.
- 10. SWV Two Telephony Partnership is a partnership organized under the laws of Delaware with a principal place of business at 6200 Sprint Parkway, Overland Park, Kansas 66251. It has two partners: SWV Three, Inc. and SWV Four, Inc. SWV Three, Inc. is a corporation organized under the laws of Delaware with a principal place of business at 6200 Sprint Parkway, Overland Park, Kansas 66251. SWV Four, Inc. is a corporation organized under the laws of Delaware with a principal place of business at 6200 Sprint Parkway, Overland Park, Kansas 66251.
- 11. SWV Three Telephony Partnership is a partnership organized under the laws of Delaware with a principal place of business at 6200 Sprint Parkway, Overland Park, Kansas 66251. It has two partners: SWV Seven, Inc. and SWV Eight, Inc. SWV Seven, Inc. is a corporation organized under the laws of Delaware with a principal place of business at 6200 Sprint Parkway, Overland Park, Kansas 66251. SWV Eight, Inc. is a corporation organized under the laws of Delaware with a principal place of business at 6200 Sprint Parkway, Overland Park, Kansas 66251.
- 12. Defendant Sprint PCS License, L.L.C. is not a citizen of California. Defendant Sprint PCS License, L.L.C. is a limited liability company organized under the laws of Delaware, with its principal place of business in Overland Park, Kansas. Defendant Sprint PCS License, L.L.C. has one member, Sprint Telephony PCS, L.P. As

set forth, Sprint Telephony, L.P.'s principal place of business is Overland Park, Kansas and its partners and their related entities are not citizens of California.

13. The citizenship of DOE defendants suing and being sued under fictitious names is disregarded for purposes of diversity jurisdiction. *See* 28 U.S.C. § 1441(a).

III.

THE AMOUNT IN CONTROVERSY EXCEEDS \$75,000

14. Defendants are informed and believe that the amount in controversy in this civil action exceeds \$75,000.00, exclusive of interest and costs. Plaintiff asserts a cause of action for violation of the Telephone Consumer Protection Act of 1991 (47 U.S.C. § 227 and 47 C.F.R. § 64.1200) against two defendants. Complaint at ¶¶ 6-13. Plaintiff alleges that Defendants made "at least 169" telephone calls to his phone number assigned to a paging service and that he seeks an award of at least \$500.00 for each such call and \$1,500.00 for all calls found to be willful. See Complaint, ¶¶ 8, 13. Accordingly, Plaintiff's complaint seeks a minimum of \$84,500.00, and \$253,500.00 if the calls are found to be willful.

IV.

THIS COURT HAS DIVERSITY JURISDICTION

15. Plaintiff is a citizen of California. Defendants are citizens of Kansas and Delaware. None of Defendants is a citizen of California. Therefore, there is complete diversity among the parties. Because the amount of the controversy exceeds \$75,000, exclusive of interests and costs, this Court has original jurisdiction pursuant to 28 U.S.C. § 1332.

THE NOTICE OF REMOVAL IS PROCEDURALLY CORRECT

3

4

5

6

2

16. Pursuant to 28 U.S.C. § 1446(a), Defendants have filed this Notice of Removal in the U.S. District Court for the Southern District of California located in San Diego. Because the state court action is pending in the California Superior Court for San Diego County, this is the proper district for removal.

V.

8

17. Pursuant to 28 U.S.C. § 1446(b) and Federal Rules of Civil Procedure 6 and 81(c), Defendants have filed this Notice of Removal within the time permitted for removal of complaints.

12

10

11

13

1415

16

17 | 18

19

20

21

22

23

2425

26

27

28

VI.

CONCLUSION

For these reasons, Defendants respectfully request that this Court proceed with this matter as if the Complaint had been originally filed in the U.S. District Court for the Southern District of California.

Dated: October 26, 2007

SHEPPARD MULLIN RICHTER & HAMPTON LLP

AMES J. MITTERMILLER
JOHN C. DINEEN

Attorney for Defendants
SPRINT PCS ASSETS, L.L.C. and SPRINT PCS
LICENSE, L.L.C.

SUMMONS (CITACION JUDICIAL)

NOTICE TO DEFENDANT:

(AVISO AL DEMANDADO):
Sprint PCS Assets, L.L.C., Sprint PCS License, L.L.C. and DOES 1 through 100, inclusive:

SUM-100

FOR COURT USE ONLY (SOLO PARA USO DE LA CORTE)

2007 SEP 11 PM 3:51

รักมี ยีกลอ ออบกั<mark>ทพ์ CA</mark>

YOU ARE BEING SUED BY PLAINTIFF: (LO ESTÁ DEMANDANDO EL DEMANDANTE):

James M. Kinder

You have 30 CALENDAR DAYS after this summons and legal papers are served on you to file a written response at this court and have a copy served on the plaintiff. A letter or phone call will not protect you. Your written response must be in proper legal form if you want the court to hear your case. There may be a court form that you can use for your response. You can find these court forms and more information at the California Courts Online Self-Help Center (www.courtinfo.ca.gov/selfhelp), your county law library, or the courthouse nearest you. If you cannot pay the filing fee, ask the court clerk for a fee waiver form. If you do not file your response on time, you may lose the case by default, and your wages, money, and property may be taken without further warning from the court.

There are other legal requirements. You may want to call an attorney right away. If you do not know an attorney, you may want to call an attorney referral service. If you cannot afford an attorney, you may be eligible for free legal services from a nonprofit legal services program. You can locate these nonprofit groups at the California Legal Services Web site (www.lawhelpcalifornia.org), the California Courts Online Self-Help Center (www.courtinfo.ca.gov/selfhelp), or by contacting your local court or county bar association.

Tiene 30 DIAS DE CALENDARIO después de que le entreguen esta citación y papeles legales para presentar una respuesta por escrito en esta corte y hacer que se entregue una copia al demandante. Una carta o una llamada telefónica no lo protegen. Su respuesta por escrito tiene que estar en formato legal correcto si desea que procesen su caso en la corte. Es posible que haya un formulario que usted pueda usar para su respuesta. Puede encontrar estos formularlos de la corte y más información en el Centro de Ayuda de las Cortes de California (www.courtinfo.ca.gov/seifhelp/espanol/), en la biblioteca de leyes de su condado o en la corte que le quede más cerca. Si no puede pagar la cuota de presentación, pida al secretario de la corte que le dé un formulario de exención de pago de cuotas. Si no presenta su respuesta a tiempo, puede perder el caso por incumplimiento y la corte le podrá quitar su sueldo, dinero y bienes sin más advertencia. Hay otros requisitos legales. Es recomendable que liame a un abogado inmediatamente. Si no conoce a un abogado, puede liamar a un

servicio de remisión a abogados. Si no puede pagar a un abogado, es posible que cumpla con los requisitos para obtener servicios legales gratuitos de un programa de servicios legales sin fines de lucro. Puede encontrar estos grupos sin fines de lucro en el sitio web de California Legal Services, (www.lawhelpcalifornia.org), en el Centro de Ayuda de las Cortes de California, (www.courtinfo.ca.gov/selfhelp/espanol/) o poniéndose en contacto con la corte o el colegio de abogados locales.

The name and address of the court is: (El nombre y dirección de la corte es): San Diego Superior Court - Central Division 330 W. Broadway San Diego, CA 92101

(Número del Caso): 37-2007-00074754-CU-MC-CI

The name, address, and telephone number of plaintiffs attorney, or plaintiff without an attorney, is: (El nombre, la dirección y el número de teléfono del abogado del demandante, o del demandante que no tiene abogado, es): Chad Austin, Esq. 619-297-8888 F 619-295-1401 3129 India St. San Diego, CA 92103-6014 SBN 235457 LINDA SPENCE DATE: SEP 1 1 2007 Clerk, by Deputy (Fecha) (Secretario, (Adjunto) (For proof of service of this summons, use Proof of Service of Summons (form POS-010).) (Para prueba de entrega de esta citatión use el formulario Proof of Service of Summons, (POS-010)). NOTICE TO THE PERSON SERVED: You are served (SEAL) as an individual defendant. as the person sued under the fictitious name of (specify): 3. X on behalf of (specify): Sprint PCS License, L.L.C. under: CCP 416.10 (corporation) CCP 416.60 (minor) CCP 416.20 (defunct corporation) CCP 416.70 (conservatee) CCP 416.40 (association or partnership) CCP 416.90 (authorized person) other (specify):

Form Adopted for Mandatory Use Judicial Council of California SUM-100 [Rev. January 1, 2004]

SUMMONS

by personal delivery on (date):



Code of Civil Procedure §§ 412.20, 465

	CM-0
ATTORNEY OR PARTY WITHOUT ATTORNEY (Name, State Bar number, and address):	FOR COURT USE ONLY
Chad Austin, Esq. 3129 India St.	
San Diego, CA 92103-6014	in the later of th
SBN 235457	C. Little Livision
TELEPHONE NO.: 619-297-8888 FAX NO.: 619-295-1401	2007 SEP 11 PM 3: 51
ATTORNEY FOR (Name): James M. Kinder SUPERIOR COURT OF CALIFORNIA, COUNTY OF San Diego	" .
STREET ADDRESS 330 W. Broadway	SAN DIEGO CUUNTY, CA
MAILING ADDRESS: SAME	AN OLEGO CUGALY, CA
CITY AND ZIP CODE: San Diego, CA 92101	
BRANCH NAME: Central Division	· ·
CASE NAME: James M. Kinder v. Sprint PCS Assets, L.L.C. and Sprint PCS License, L.L.C.	
CIVIL CASE COVER SHEET Complex Case Designation	CASE NUMBER:
X Unlimited	
(Amount demanded demanded is Filed with first appearance by defend	lant Judge: 37-2007-00074754-CU-MC-CTL
demanded demanded is exceeds \$25,000) \$25,000 or less) (Cal. Rules of Court, rule 3.402)	DEPT:
Items 1-6 below must be completed (see instruction	ns on page 2).
Check one box below for the case type that best describes this case:	
Auto Tort Contract	Provisionally Complex Civil Litigation
Auto (22) Breach of contract/warranty (08)	(Cal. Rules of Court, rules 3.400-3.403)
Uninsured motorist (46) Rule 3.740 collections (09) Other PI/PD/WD (Personal Injury/Property Other collections (09)	Antitrust/Trade regulation (03)
Demonstrated Dooth Tort	Construction defect (10)
Insurance coverage (18)	Mass tort (40)
Aspestos (04)	Securities litigation (28) Environmental/Toxic tort (30)
Medical malpractice (45) Eminent domain/inverse	Insurance coverage claims arising from the
Other PI/PD/WD (23) condemnation (14)	above listed provisionally complex case
Non-PI/PD/WD (Other) Tort Wrongful eviction (33)	types (41)
Business tort/unfair business practice (07) Other real property (28)	Enforcement of Judgment
Civil rights (08) Unlawful Detainer	Enforcement of judgment (20)
Defamation (13) Commercial (31)	Miscellaneous Civil Complaint
Fraud (16) Residential (32)	RICO (27)
intellectual property (19) Drugs (38)	X Other complaint (not specified above) (42)
Professional negligence (25) Judicial Review	Miscellaneous Civil Petition
Other non-PI/PD/WD tort (35) Asset forfeiture (05)	Partnership and corporate governance (21)
Employment Petition re: arbitration award (11)	Other petition (not specified above) (43)
Wrongful termination (36) Writ of mandate (02)	, , , , , , , , , , , , , , , , , , , ,
Other employment (15) Other judicial review (39)	
2. This case is is is not complex under rule 3.400 of the California Rule	es of Court. If the case is complex, mark the
factors requiring exceptional judicial management:	···
a. Large number of separately represented parties d. Large number b. Extensive motion practice raising difficult or novel e. Coordination w	
Coolding amount of the contained the	Ith related actions pending in one or more courts
	s, states, or countries, or in a federal court
	stjudgment judicial supervision eclaratory or injunctive relief c. punitive
	eclaratory or injunctive relief c punitive
4. Number of causes of action (specify): 1	
5. This case is is is not a class action suit.	
6. If there are any known related cases, file and serve a notice of related case. (You may Date: 09-10-07	ay use (orm 010-015.)
Chad Austin, Esq.	and the
	ATURE OF PARTY OR ATTORNEY FOR PARTY)
NOTICE	
 Plaintiff must file this cover sheet with the first paper filed in the action or proceeding 	(except small claims cases or cases filed
under the Probate Code, Family Code, or Welfare and Institutions Code). (Cal. Rules of	of Court, rule 3.220.) Failure to file may result
 in sanctions. File this cover sheet in addition to any cover sheet required by local court rule. 	•
 If this case is complex under rule 3.400 et seq. of the California Rules of Court, you m 	just serve a copy of this cover sheet on att
other parties to the action or proceeding.	· · · · · · · · · · · · · · · · · · ·
Unless this is a collections case under rule 3.740 or a complex case, this cover sheet	will be used for statistical purposes only.
	7 - 9 - 101 2

Form Adopted for Mandatory Use Judicial Council of California GM-010 (Rev. July 1, 2007)

าวสริเทีย Chad Austin, Esq. SBN 235457 1 3129 India Street 2007 SEP 11 PM 3: 51 San Diego, CA 92103-6014 Telephone: (619) 297-8888 2 3 Facsimile: (619) 295-1401 Attorney for Plaintiff JAMES M. KINDER, an individual SAN DIEGO COUNTY, CA 4 5 6 8 IN THE SUPERIOR COURT OF THE STATE OF CALIFORNIA 9 FOR THE COUNTY OF SAN DIEGO 10 CASE NO.37-2007-00074754-CU-MC-CTL 11 JAMES M. KINDER. 12 Plaintiff. COMPLAINT FOR DAMAGES 13 Violations of Telephone Consumer Protection Act of 1991 SPRINT PCS ASSETS, L.L.C., SPRINT PCS LICENSE, L.L.C. and DOES 1 through 100, 15 inclusive, 16 Defendants. 17 COMES NOW Plaintiff JAMES M. KINDER (hereinafter referred to as "Plaintiff") who alleges 18 19 as follows: 20 GENERAL ALLEGATIONS 21 1. Plaintiff is, and at all times herein mentioned was, a resident of the County of San 22 Diego, State of California. 23 2. Defendants SPRINT PCS ASSETS, L.L.C. and SPRINT PCS LICENSE, L.L.C. (hereinafter referred to as "Defendants"), were at all times herein mentioned Delaware limited 24 25 liability companies, doing business in the County of San Diego, State of California. 26 Plaintiff is unaware of the true names and capacities of defendants sued herein as 27 DOES 1 through 100, inclusive, and therefore sues these defendants by such fictitious names. 28 Plaintiff will amend this complaint to allege their true names and capacities when ascertained.

Plaintiff is informed and believes and thereon alleges that each of the fictitiously named defendants is responsible in some manner for the occurrences herein alleged and that Plaintiff's damages as herein alleged were proximately caused by their conduct.

At all times herein mentioned each defendant was the partner, agent and employee of each co-defendant herein and was at all times acting within the scope of such partnership, agency and employment and each defendant ratified the conduct of each co-defendant herein.

FIRST AND ONLY CAUSE OF ACTION [Violation of Telephone Consumer Protection Act of 1991]

- Plaintiff realleges paragraphs 1 through 4 above and incorporates them herein by 5. reference.
- Plaintiff is bringing this action pursuant to the provisions of the Telephone Consumer 6. Protection Act of 1991 (47 U.S.C. §227 and 47 C.F.R. §64.1200 - "TCPA").
- 7. Subdivision (b) (l) (A) (iii) of Section 227 of Title 47 of the United States Code makes it unlawful for any person to "Make any call (other than a call made for emergency purposes or made with the prior express consent of the called party) using any automatic telephone dialing system or an artificial or prerecorded voice...to any telephone number assigned to a paging service, specialized mobile radio service, or other radio common carrier service, or any service for which the called party is charged for the call."
- 8. Defendants have been calling Plaintiff's number assigned to a paging service, using an Automatic Telephone Dialing System and/or an artificial or prerecorded voice on at least 169 occasions within the statutory period of the last 4 years, pursuant to 47 U.S.C. § 1658. These 169 calls are only the calls known to Plaintiff at this time and Plaintiff states on information and belief, without yet having the aid of full discovery, that it is quite likely that Defendant has made many more violative calls to Plaintiff's number assigned to a paging service than the 169 of which he is currently aware.
- 9. Subdivision (b) (1) of Section 64.1200 of Title 47 of the Code of Federal Regulations requires that all prerecorded telephone messages disseminated within the United States must "At the beginning of the message, state clearly the identity of the business, individual, or other

9

10 11

12

13

14 15

16 17

18

19

20 21

22 23

24 25

26

	I
. 1	
	1
2	I
3	
4	
5	
6	
7	
8	
9	
10	1
•	(
11	1
12	d
13	İ
14	
15	p
16	§
17	st
18	OI
- 1	U
19	_
20	fo

21

22

23

24

25

26

entity that is responsible for initiating the call. If a business is responsible for initiating the call, the name under which the entity is registered to conduct business with the State Corporation Commission (or comparable regulatory authority) must be stated."

- 10. Defendants' illegal prerecorded message calls failed to comply with this requirement.
- 11. Subdivision (b) (2) of Section 64.1200 of Title 47 of the Code of Federal Regulations requires that all prerecorded telephone messages disseminated within the United States must "state clearly the telephone number (other than that of the autodialer or prerecorded message player that placed the call) of such business, other entity, or individual. The telephone number may not be a 900 number or any number for which charges exceed local or long distance transmission charges. For telemarketing messages to residential telephone subscribers, such telephone numbers must permit any individual to make a do-not-call request during regular business hours for the luration of the telemarketing campaign."
 - Defendants' illegal prerecorded message calls failed to comply with this requirement. 12.
- Subdivision (b)(3) of section 227 of title 47 of the United States Code permits a 13. rivate right of action in state court for violations of 47 U.S.C. §227 (b) (1) (A) (iii), 47 C.F.R. 64.1200 (b) (1) and 47 C.F.R. §64.1200 (b) (2). Plaintiff is entitled to a minimum of \$500.00 in atutory damages for each such violation. If the court finds that defendants' violations were willful knowing, it may, in its discretion, award up to three times that amount.

WHEREFORE Plaintiff prays for judgment against defendants, and each of them, as llows:

On the FIRST AND ONLY CAUSE OF ACTION:

- 1. For an award of \$500.00 for each violation of 47 U.S.C. §227 and 47 C.F.R. § 64.1200;
- 2. For an award of \$1,500.00 for each such violation found to have been willful:
- 3. For costs of suit herein incurred; and

111

27 111

For such other and further relief as the Court deems proper.

Dated: September 10, 2007

Attorney for Plaintiff JAMES M. KINDER

SUPERIOR COUR	RT OF CALIFORNIA, COUNTY OF SAN DIEGO					
STREET ADDRESS:	330 West Broadway					
MAILING ADDRESS:	330 West Broadway		•			
CITY AND ZIP CODE:	San Diego, CA 92101					
BRANCH NAME:	Central	•		•		
TELEPHONE NUMBER:	(819) 685-6022	•				
PLAINTIFF(S) / PE	TITIONER(S): JAMES M KINDER					
DEFENDANT(S) /	RESPONDENT(S): SPRINT PCS ASSETS LLC et.al.					
KINDER VS. SPR	INT PCS ASSETS LLC					
NOTICE OF CASE ASSIGNMENT			CASE NUMBER: 37-2007-00074754-CU-MC-CTL			
·			101-2001-	00014134-00-MC-C L		

Judge: Charles R. Hayes

Department: C-66

COMPLAINT/PETITION FILED: 09/11/2007

CASES ASSIGNED TO THE PROBATE DIVISION ARE NOT REQUIRED TO COMPLY WITH THE CIVIL: REQUIREMENTS LISTED BELOW

IT IS THE DUTY OF EACH PLAINTIFF (AND CROSS-COMPLAINANT) TO SERVE A COPY OF THIS NOTICE WITH THE COMPLAINT (AND CROSS-COMPLAINT).

ALL COUNSEL WILL BE EXPECTED TO BE FAMILIAR WITH SUPERIOR COURT RULES WHICH HAVE BEEN! PUBLISHED AS DIVISION II, AND WILL BE STRICTLY ENFORCED.

TIME STANDARDS: The following timeframes apply to general civil cases and must be adhered to unless you have requested and been granted an extension of time. General civil consists of all cases except: Small claims appeals, petitions, and unlawful detainers.

COMPLAINTS: Complaints must be served on all named defendants, and a CERTIFICATE OF SERVICE (SDSC CIV-345) filed within 60 days of filling. This is a mandatory document and may not be substituted by the filling of any other document.

DEFENDANT'S APPEARANCE: Defendant must generally appear within 30 days of service of the complaint. (Plaintiff may stipulate to no more than a 15 day extension which must be in writing and filed with the Court.)

DEFAULT: If the defendant has not generally appeared and no extension has been granted, the plaintiff must request default within 45 days of the filing of the Certificate of Service.

THE COURT ENCOURAGES YOU TO CONSIDER UTILIZING VARIOUS ALTERNATIVES TO LITIGATION, INCLUDING MEDIATION AND ARBITRATION, PRIOR TO THE CASE MANAGEMENT CONFERENCE. MEDIATION SERVICES ARE AVAILABLE UNDER THE DISPUTE RESOLUTION PROGRAMS ACT AND OTHER PROVIDERS. SEE ADR INFORMATION PACKET AND STIPULATION.

YOU MAY ALSO BE ORDERED TO PARTICIPATE IN ARBITRATION PURSUANT TO CCP 1141.10 AT THE CASE MANAGEMENT CONFERENCE. THE FEE FOR THESE SERVICES WILL BE PAID BY THE COURT IF ALL PARTIES HAVE APPEARED IN THE CASE AND THE COURT ORDERS THE CASE TO ARBITRATION PURSUANT TO CCP 1141.10. THE CASE MANAGEMENT CONFERENCE WILL BE CANCELLED IF YOU FILE FORM SDSC CIV-359 PRIOR TO THAT HEARING

SDSC CIV-721 (Rev. 11-06)

Page: 1

SUPERIOR COURT OF CALIFORNIA, COUNTY OF SAN DIEGO

CASE NUMBER: 37-2007-00074754-CU-MC-CTL

CASE TITLE: KINDER vs. SPRINT PCS ASSETS LLC

NOTICE TO LITIGANTS/ADR INFORMATION PACKAGE

You are required to serve a copy of this Notice to Litigants/ADR Information Package and a copy of the blank Stipulation to Alternative Dispute Resolution Process (received from the Civil Business Office at the time of filing) with a copy of the Summons and Complaint on all defendants in accordance with San Diego Superior Court Rule 2.1.5, Division II and CRC Rule 201.9.

ADR POLICY

It is the policy of the San Diego Superior Court to strongly support the use of Alternative Dispute Resolution ("ADR") in all general civil cases. The court has long recognized the value of early case management intervention and the use of alternative dispute resolution options for amenable and eligible cases. The use of ADR will be discussed at all Case Management Conferences. It is the court's expectation that litigants will utilize some form of ADR - i.e. the court's mediation or arbitration programs or other available private ADR options as a mechanism for case settlement before trial

ADR OPTIONS

1) CIVIL MEDIATION PROGRAM: The San Diego Superior Court Civil Mediation Program is designed to assist parties with the early resolution of their dispute. All general civil independent calendar cases, including construction defect, complex and eminent domain cases are eligible to participant in the program. Limited civil collection cases are not eligible at this time. San Diego Superior Court Local Rule 2.31, Division II addresses this program specifically. Mediation is a non- binding process in which a trained mediator 1) facilitates communication between disputants, and 2) assists parties in reaching a mutually acceptable resolution of all or part of their dispute. In this process, the mediator carefully explores not only the relevant evidence and law, but also the parties' underlying interests, needs and priorities. The mediator is not the decision-maker and will not resolve the dispute - the parties do. Mediation is a flexible, informal and confidential process that is less stressful than a formalized trial. It can also save time and money, allow for greater client participation and allow for more flexibility in creating a resolution. at the arministration of the

Assignment to Mediation, Cost and Timelines: Parties may stipulate to mediation at any time up to the CMC or may stipulate to mediation at the CMC. Mediator fees and expenses are split equally by the parties, unless otherwise agreed. Mediators on the court's approved panel have agreed to the court's payment schedule for county-referred mediation: \$150.00 per hour for each of the first two hours and their individual rate per hour thereafter. Parties may select any mediator, however, the court maintains a panel of court-approved mediators who have satisfied panel requirements and who must adhere to ethical standards. All court-approved mediator fees and other policies are listed in the Mediator Directory at each court location to assist parties with selection. Discovery: Parties do not need to conduct full discovery in the case before mediation is considered, utilized or referred. Attendance at Mediation: Trial counsel, parties and all persons with full authority to settle the case must personally attend the mediation, unless excused by the court for good

2) JUDICIAL ARBITRATION: Judicial Arbitration is a binding or non-binding process where an arbitrator applies the law to the facts of the case and issues an award. The goal of judicial arbitration is to provide parties with an adjudication that is earlier, faster, less formal and less expensive than trial. The arbitrator's award may either become the judgment in the case if all parties accept or if no trial de novo is requested within the required time. Either party may reject the award and request a trial de novo before the assigned judge if the arbitration was non-binding. If a trial de novo is requested, the trial will usually be scheduled within a year of the filing date.

Assignment to Arbitration, Cost and Timelines: Parties may stipulate to binding or non-binding judicial arbitration or the judge may order the matter to arbitration at the case management conference, held approximately 150 days after filing, if a case is valued at under \$50,000 and is "at issue". The court maintains a panel of approved judicial arbitrators who have practiced law for a minimum of five years and who have a certain amount of trial and/or arbitration experience. In addition, if parties select an arbitrator from the court's panel, the court will pay the arbitrator's fees. Superior Court

SDSC CIV-730 (Rev 12-06)

3) SETTLEMENT CONFERENCES: The goal of a settlement conference is to assist the parties in their efforts to negotiate a settlement of all or part of the dispute. Parties may, at any time, request a settlement conference before the judge assigned to their case; request another assigned judge or a pro tem to act as settlement officer; or may privately utilize the services of a retired judge. The court may also order a case to a mandatory settlement conference prior to trial before the court's assigned Settlement Conference judge.

Filed 10/26/2007

4) OTHER VOLUNTARY ADR: Parties may voluntarily stipulate to private ADR options outside the court system including private binding arbitration, private early neutral evaluation or private judging at any time by completing the "Stipulation to Alternative Dispute Resolution Process" which is included in this ADR package. Parties may also utilize mediation services offered by programs that are partially funded by the county's Dispute Resolution Programs Act. These services are available at no cost or on a sliding scale based on need. For a list of approved DRPA providers, please contact the County's DRPA program office at (619) 238-2400.

ADDITIONAL ADR INFORMATION: For more information about the Civil Mediation Program, please contact the Civil Mediation Department at (619) 515-8908. For more information about the Judicial Arbitration Program, please contact the Arbitration Office at (619) 531-3818. For more information about Settlement Conferences, please contact the Independent Calendar department to which your case is assigned. Please note that staff can only discuss ADR options and cannot give legal advice.

SUPERIOR COURT OF CALIFORNIA, COUNTY OF SAN DIEGO		FOR COURT USE ONLY
STREET ADDRESS: 330 West Broadway		
MAILING ADDRESS: 330 West Broadway		
CITY, STATE, & ZIP CODE: San Diego, CA 92101-3827		
BRANCH NAME: Central		
PLAINTIFF(S): JAMES M KINDER		•
DEFENDANT(S): SPRINT PCS ASSETS LLC et.al.		
SHORT TITLE: KINDER VS. SPRINT PCS ASSETS LLC		
STIPULATION TO ALTERNATIVE DISPUTE RESOLUTI (CRC 3.221)	ON PROCESS	CASE NUMBER: 37-2007-00074754-CU-MC-CTL
Judge: Charles R. Hayes	Departm	ent: C-66
The parties and their attorneys stipulate that the matter is at issue and the claresolution process. Selection of any of these options will not delay any case r	ims in this action shall nanagement time-lines	be submitted to the following alternative dispu
Court-Referred Mediation Program		dered Nonbinding Arbitration
Private Neutral Evaluation		dered Binding Arbitration (Stipulated)
Private Mini-Trial	Private R	eference to General Referee
Private Summary Jury Trial	Private Re	eference to Judge
Private Settlement Conference with Private Neutral	. Private 8	nding Arbitration
Other (specify):	••	·
·		
Alternate: (mediation & arbitration only)		
Alternate: (mediation & arbitration only)	Date:	
Alternate: (mediation & arbitration only)		
Alternate: (mediation & arbitration only) Date:	Date:	
Alternate: (mediation & arbitration only) Date:		
Alternate: (mediation & arbitration only) Date: Name of Plaintiff	Date: Name of Defende	
Alternate: (mediation & arbitration only) Date: Name of Plaintiff	Date:	
Alternate: (mediation & arbitration only) Date: Name of Plaintiff Signature	Date: Name of Defende	
Alternate: (mediation & arbitration only) Date: Name of Plaintiff Signature	Date: Name of Defende	ant
Alternate: (mediation & arbitration only) Date: Name of Plaintiff Signature	Date: Name of Defende Signature	ant
Alternate: (mediation & arbitration only) Date: Name of Plaintiff Signature Name of Plaintiff's Attorney	Name of Defenda	ant
Alternate: (mediation & arbitration only) Date: Name of Plaintiff Signature Name of Plaintiff's Attorney	Date: Name of Defenda Signature Name of Defenda Signature	ant ant's Attorney
Alternate: (mediation & arbitration only) Date: Name of Plaintiff Signature Signature Attach another sheet if additional names are necessary). It is the duty of the patules of Court, 3. 1385. Upon notification of the settlement the court will place if	Name of Defendation Signature Name of Defendation Signature stiles to notify the counties matter on a 45-day	ant Int's Attorney of any settlement pursuant to California dismissal calendar.
Alternate: (mediation & arbitration only) Date:	Name of Defendation Signature Name of Defendation Signature stiles to notify the counties matter on a 45-day	ant Int's Attorney of any settlement pursuant to California dismissal calendar.
Alternate: (mediation & arbitration only)	Name of Defendation Signature Name of Defendation Signature stiles to notify the counties matter on a 45-day	ant Int's Attorney of any settlement pursuant to California dismissal calendar.
Alternate: (mediation & arbitration only) Date:	Name of Defender Signature Name of Defender Signature signature rities to notify the courties matter on a 45-day earing or actions by na	ant Int's Attorney of any settlement pursuant to California dismissal calendar.

STIPULATION TO USE OF ALTERNATIVE DISPUTE RESOLUTION

Case 3	3:07-cv-02049-W	(-JMAVIIP)	CAM.	ER ¹ SHEE	10/2	26/2007	Page 1	8 of 2	0	*
The JS 44 civil cover sheet and the by local rules of court. This form,	e information contained here approved by the Judicial Co	in neither replace no inference of the Unit	or suppler ted States	nent the filing and ser	rvice of p	learings or o	ther papers as requ	uired by lav	v except a	s provided initiating
the civil docket sheet. (SEE INST I. (a) PLAINTIFFS JAMES M. KINDER	ROCTIONS ON THE REV	ERSE OF THE FO		DEFENDAN SPRINT PCS		rs, L.L.C	and SPRINT	PCS LI	CENSE,	L.L.C.
(b) County of Residence of (EXCEP	of First Listed Plaintiff <u>Sar</u> T IN U.S. PLAINTIFF CA			County of Reside	ø	ISQUE HEA IDRMNATIO	K, U.S. DISTRIC UNITEFICASES ON CASES, USE TI	ONAMFO	MINIAL	E
(c) Attorney's (Firm Nam Chad Austin, Esq. 3129 India Street San Diego, California 921 Telephone: 619-297-8888	-	Number)		Attorneys (If Kno SHEPPARD I JAMES J. MI JOHN C. DIA 501 West Bro	VJULLI TTERN IEEN, (MILLER, Cal. Bar N	Cal. Bar No. 8 No. 222095		Ľ P	
				San Diego, Ca Telephone: 6			2 04 9	V	VQH	JMA
II. BASIS OF JURISDIC	CTION (Place an "X" in O	ne Box Only)	III. C	(For Diversity Cas			,		in One Box	
1 U.S. Government Plaintiff	3 Federal Question (U.S. Government Not	a Party)	Ci	tizen of This State	PTF	DEF	orporated or Princip of Business In Thi	al Place	PTF	DEF 4
U.S. Government Defendant	4 Diversity (Indicate Citizenship of	Parties in Item III)	Ci	tizen of Another State	□ 2		corporated and Princ of Business In An		□ 5	☐ 5
			Ci	tizen or Subject of a Foreign Country	3	3 For	reign Nation		□ 6	☐ 6 —————
IV. NATURE OF SUIT CONTRACT		y) ORTS		FORFEITURE/PE	'NAI TV	RAN	KRUPTCY	ОТЬ	IER STA	THES
110 Insurance 120 Marine 130 Miller Act 140 Negotiable Instrument 150 Recovery of Overpayment & Enforcement of Judgment 151 Medicare Act 152 Recovery of Defaulted Student Loans (Excl. Veterans)	110 Insurance		ury— actice ury — bility rsonal act	610 Agriculture 620 Other Food & Drug 625 Drug Related Seizure of Property 21 USC 881 630 Liquor Laws 640 R.R. & Truck 650 Airline Regs. 660 Occupational Safety/Health 690 Other		422 Appeal 28 USC 158			0 State Reapportionment 0 Antitrust 0 Banks and Banking 0 Commerce 0 Deportation 0 Racketeer Influenced and Corrupt Organizations 0 Consumer Credit 0 Cable/Sat TV 0 Selective Service	
153 Recovery of Overpayment of Veteran's Benefits	Liability 350 Motor Vehicle	370 Other Fraud 371 Truth in Len 380 Other Person	nding	LABOR		SOCIA	L SECURITY	Exc	urities/Com hange	
160 Stockholders' Suits 190 Other Contract 195 Contract Product Liability 196 Franchise REAL PROPERTY	☐ 355 Motor Vehicle Product Liability ☐ 360 Other Personal Injury CIVIL RIGHTS	Property Day Product Liab	mage mage bility	710 Fair Labor Sta Act 720 Labor/Mgmt. I 730 Labor/Mgmt. F & Disclosure	Relations Reporting	862 Blac 863 DIW 864 SSII	(1395ff) ck Lung (923) VC/DIWW (405(g)) D Title XVI (405(g))	□ 875 Cus 12 ■ 890 Oth ■ 891 Agr	tomer Chall USC 3410 er Statutory icultural Ac	Actions
210 Land Condemnation 220 Foreclosure 230 Rent Lease & Ejectment	441 Voting 442 Employment 443 Housing/	510 Motions to V Sentence Habeas Corpus	Vacate	740 Railway Labor 790 Other Labor L 791 Empl. Ret. Inc	itigation		AL TAX SUITS	893 Environmental Matters 894 Energy Allocation Act 895 Freedom of Information		
240 Torts to Land 245 Tort Product Liability 290 All Other Real Property	Accommodations 444 Welfare 445 Amer. w/Disabilities - Employment 446 Amer. w/Disabilities - Other	530 General 535 Death Penal 540 Mandamus & 550 Civil Rights 555 Prison Cond	& Other	Security Act		□ 870 Taxes (U.S. Plaintiff or Defendant) □ 871 IRS—Third Party 26 USC 7609		Act 900Appeal of Fee Determination Under Equal Access to Justice 950 Constitutionality of State Statutes		
☐ 1 Original 🖾 2 Remo	Court Appell	ate Court	Reinsta Reoper	ted or 5 anothered (speci	fy)	t 🗆 6	Multidistrict Litigation	☐ 7 Ji M Ji	ppeal to Didge from lagistrate	istrict
VI. CAUSE OF ACTIO	Cite the U.S. Civil S 28 U.S.C §§ 144 Brief description of o Plaintiff filed a c	1, 1446 and 132 cause:	32.					/): 		· · · · · · · · · · · · · · · · · · ·
VII. REQUESTED IN COMPLAINT:	CHECK IF THIS IS UNDER F.R.C.P. 2	A CLASS ACTIO		DEMAND \$84,500		7 (10171)	CHECK YES		nanded in (
VIII. RELATED CASE	(See instructions):	UDGE	\ /			DOCKET 1	NUMBER			
DATE 10/26/07		IGNATURE O	FIX.	NEY OF RECORD	•					
FOR OFFICE USE ONLY RECEIPT # 143793 A		APPLYING IFP		JUDGE			MAG. JUDGE	-(h)-4 1- 11	1100	45
10/19/07	12						American Lega	aiNet, Inc.	www.USCou	π-orms.com

INSTRUCTIONS FOR ATTORNEYS COMPLETING CIVIL COVER SHEET FORM JS 44

Authority For Civil Cover Sheet

The JS 44 civil cover sheet and the information contained herein neither replaces nor supplements the filings and service of pleading or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for the use of the Clerk of Court for the purpose of initiating the civil docket sheet. Consequently, a civil cover sheet is submitted to the Clerk of Court for each civil complaint filed. The attorney filing a case should complete the form as follows:

- I. (a) Plaintiffs-Defendants. Enter names (last, first, middle initial) of plaintiff and defendant. If the plaintiff or defendant is a government agency, use only the full name or standard abbreviations. If the plaintiff or defendant is an official within a government agency, identify first the agency and then the official, giving both name and title.
- (b.) County of Residence. For each civil case filed, except U.S. plaintiff cases, enter the name of the county where the first listed plaintiff resides at the time of filing. In U.S. plaintiff cases, enter the name of the county in which the first listed defendant resides at the time of filing. (NOTE: In land condemnation cases, the county of residence of the "defendant" is the location of the tract of land involved.)
- (c) Attorneys. Enter the firm name, address, telephone number, and attorney of record. If there are several attorneys, list them on an attachment, noting in this section "(see attachment)".
- II. Jurisdiction. The basis of jurisdiction is set forth under Rule 8(a), F.R.C.P., which requires that jurisdictions be shown in pleadings. Place an "X" in one of the boxes. If there is more than one basis of jurisdiction, precedence is given in the order shown below.

United States plaintiff. (1) Jurisdiction based on 28 U.S.C. 1345 and 1348. Suits by agencies and officers of the United States are included here.

United States defendant. (2) When the plaintiff is suing the United States, its officers or agencies, place an "X" in this box.

Federal question. (3) This refers to suits under 28 U.S.C. 1331, where jurisdiction arises under the Constitution of the United States, an amendment to the Constitution, an act of Congress or a treaty of the United States. In cases where the U.S. is a party, the U.S. plaintiff or defendant code takes precedence, and box 1 or 2 should be marked.

Diversity of citizenship. (4) This refers to suits under 28 U.S.C. 1332, where parties are citizens of different states. When Box 4 is checked, the citizenship of the different parties must be checked. (See Section III below; federal question actions take precedence over diversity cases.)

- III. Residence (citizenship) of Principal Parties. This section of the JS-44 is to be completed if diversity of citizenship was indicated above. Mark this section for each principal party.
- IV. Nature of Suit. Place an "X" in the appropriate box. If the nature of suit cannot be determined, be sure the cause of action, in Section VI below, is sufficient to enable the deputy clerk or the statistical clerks in the Administrative Office to determine the nature of suit. If the cause fits more than one nature of suit, select the most definitive.
- V. Origin. Place an "X" in one of the seven boxes.

Original Proceedings. (1) Cases which originate in the United States district courts.

Removed from State Court. (2) Proceedings initiated in state courts may be removed to the district courts under Title 28 U.S.C., Section 1441. When the petition for removal is granted, check this box.

Remanded from Appellate Court. (3) Check this box for cases remanded to the district court for further action. Use the date of remand as the filing date.

Reinstated or Reopened. (4) Check this box for cases reinstated or reopened in the district court. Use the reopening date as the filing date.

Transferred from Another District. (5) For cases transferred under Title 28 U.S.C. Section 1404(a) Do not use this for within district transfers or multidistrict litigation transfers.

Multidistrict Litigation. (6) Check this box when a multidistrict case is transferred into the district under authority of Title 28 U.S.C. Section 1407. When this box is checked, do not check (5) above.

Appeal to District Judge from Magistrate Judgment. (7) Check this box for an appeal from a magistrate judge's decision.

VI. Cause of Action. Report the civil statute directly related to the cause of action and give a brief description of the cause. **Do not cite jurisdictional statutes** unless diversity. Example: U.S. Civil Statute: 47 USC 553

Brief Description: <u>Unauthorized reception of cable service</u>

VII. Requested in Complaint. Class Action. Place an "X" in this box if you are filing a class action under Rule 23, F.R.Cv.P.

Demand. In this space enter the dollar amount (in thousands of dollars) being demanded or indicate other demand such as a preliminary injunction.

Jury Demand. Check the appropriate box to indicate whether or not a jury is being demanded.

VIII. Related Cases. This section of the JS-44 is used to reference related pending cases if any. If there are related pending cases, insert the docket numbers and the corresponding judge names for such cases.

Date and Attorney Signature. Date and sign the civil cover sheet.

UNITED STATES DISTRICT COURT

SOUTHERN DISTRICT OF CALIFORNIA SAN DIEGO DIVISION

143793 * * C O P Y * * October 29, 2007 13:08:28

Civ Fil Non-Pris

USAO #.: 07CV2049 CIV. FIL. Judge..: WILLIAM Q HAYES

Amount.:

Check#.: BC#017951

\$350.00 CK

Total-> \$350.00

FROM: KINDER V. SPRINT PCS ASSETS CIVIL FILING